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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/087,715 | 03/01/2002 | Kathleen S. Keegan | 27866/37081A |

04743
MARSHALL, GERSTEIN & BORUN
6300 SEARS TOWER
233 SOUTH WACKER
CHICAGO, IL 60606-6357



CONFIRMATION NO. 7406
FORMALITIES LETTER

OC000000007754290

Date Mailed 04/01/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

Docketed: 61102

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below

The required item(s) identified below must be timely submitted to avoid abandonment

Docketed: 61102

- A substitute specification in compliance with 37 CFR 1.52 because:
 - Line spacing on the specification, claims, or abstract is not 1-1/2 or double spaced (See 37 CFR 1.52(b)).

04/24/2002 BABRAHA1 00000005 10087715
01 FC:105 130.00 OP

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

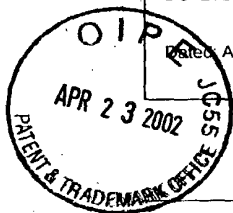
I hereby certify that this correspondence is being deposited with the U S Postal Service with sufficient postage as First Class Mail, in an envelope addressed to Box Missing Parts, Commissioner for Patents, Washington, DC 20231, on the date shown below

Dated: April 18, 2002

Signature: *James J Napoli*

James J Napoli

Docket No.: 27866/37081A/US
(PATENT)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Kathleen S. Keegan, et al.

Application No.: 10/087,715

Group Art Unit: N/A

Filed: March 1, 2002

Examiner: Not Yet Assigned

For: COMPOUNDS USEFUL FOR INHIBITING
CHK-1

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Box Missing Parts
Commissioner for Patents
Washington, DC 20231

Dear Sir:

In response to the Notice to File Missing Parts of Application – Filing Date
Granted mailed April 1, 2002, Applicants respectfully submit a Combined Declaration and
Power of Attorney and a substitute specification correcting the line spacing.

Our check in the amount of \$130.00 covering the fee set forth in 37 CFR
1.16(e) is enclosed. The Commissioner is hereby authorized to charge any deficiency in the
fees filed, asserted to be filed or which should have been filed herewith (or with any paper

Application No.: 10/087,715

Docket No.: 27866/37081A/US

hereafter filed in this application by this firm) to our Deposit Account No. 13-2855, under Order No. 27866/37081A/US. A duplicate copy of this paper is enclosed.

Dated: April 18, 2002



Respectfully submitted,

By James J. Napoli

James J. Napoli

Registration No.: 32,361
MARSHALL, GERSTEIN & BORUN
233 S. Wacker Drive, Suite 6300
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Chicago, Illinois 60606-6357
(312) 474-6300
Attorneys for Applicant



3/18/2002 3:31:53 PM

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PTO/SB/17 (11-01)

Approved for use through 10/31/2002 OMB 0651-0032
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

☐ Applicant claims small entity status See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 130.00

Complete if Known

| | |
|----------------------|--------------------|
| Application Number | 10/087,715 |
| Filing Date | March 1, 2002 |
| First Named Inventor | Kathleen S. Keegan |
| Examiner Name | Not Yet Assigned |
| Group Art Unit | N/A |
| Attorney Docket No. | 27866/37081A/US |

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None
☐ Deposit Account

Deposit Account Number: 13-2855
Deposit Account Name: MARSHALL, GERSTEIN & BORUN

The Commissioner is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments
☐ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|--------------|--------------|----------|----------|----------|----------|------------------------|----------|
| 101 | 201 | 740 | 370 | 165 | 80 | Utility filing fee | |
| 106 | 206 | 330 | 165 | 255 | 80 | Design filing fee | |
| 107 | 207 | 510 | 370 | 255 | 80 | Plant filing fee | |
| 108 | 208 | 740 | 370 | 255 | 80 | Reissue filing fee | |
| 114 | 214 | 160 | 80 | 80 | 80 | Provisional filing fee | |
| SUBTOTAL (1) | | | | | | | 0.00 |

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims: ** = x =
Independent Claims: ** = x =
Multiple Dependent: =

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description |
|--------------|--------------|----------|----------|----------|----------|--|
| 103 | 203 | 18 | 9 | 9 | 9 | Claims in excess of 20 |
| 102 | 202 | 84 | 42 | 42 | 42 | Independent claims in excess of 3 |
| 104 | 204 | 280 | 140 | 140 | 140 | Multiple dependent claim, if not paid |
| 109 | 209 | 84 | 42 | 42 | 42 | ** Reissue independent claims over original patent |
| 110 | 210 | 18 | 9 | 9 | 9 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$) 0.00

** or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|--------------|--------------|----------|----------|----------|----------|--|----------|
| 105 | 205 | 130 | 65 | 65 | 65 | Surcharge - late filing fee or oath | 130.00 |
| 127 | 227 | 50 | 25 | 25 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 139 | 130 | 130 | 130 | 130 | Non-English specification | |
| 147 | 147 | 2,520 | 2,520 | 2,520 | 2,520 | For filing a request for ex parte reexamination | |
| 112 | 112 | 920* | 920* | 920* | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 113 | 1,840* | 1,840* | 1,840* | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 115 | 110 | 55 | 55 | 55 | Extension for reply within first month | |
| 116 | 116 | 400 | 200 | 200 | 200 | Extension for reply within second month | |
| 117 | 117 | 920 | 460 | 460 | 460 | Extension for reply within third month | |
| 118 | 118 | 1,440 | 720 | 720 | 720 | Extension for reply within fourth month | |
| 128 | 128 | 1,960 | 980 | 980 | 980 | Extension for reply within fifth month | |
| 119 | 119 | 320 | 160 | 160 | 160 | Notice of Appeal | |
| 120 | 120 | 320 | 160 | 160 | 160 | Filing a brief in support of an appeal | |
| 121 | 121 | 280 | 140 | 140 | 140 | Request for oral hearing | |
| 138 | 138 | 1,510 | 1,510 | 1,510 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 140 | 110 | 55 | 55 | 55 | Petition to revive - unavoidable | |
| 141 | 141 | 1,280 | 640 | 640 | 640 | Petition to revive - unintentional | |
| 142 | 142 | 1,280 | 640 | 640 | 640 | Utility issue fee (or reissue) | |
| 143 | 143 | 460 | 230 | 230 | 230 | Design issue fee | |
| 144 | 144 | 620 | 310 | 310 | 310 | Plant issue fee | |
| 122 | 122 | 130 | 130 | 130 | 130 | Petitions to the Commissioner | |
| 123 | 123 | 50 | 50 | 50 | 50 | Processing fee under 37 CFR 1.17(q) | |
| 126 | 126 | 180 | 180 | 180 | 180 | Submission of Information Disclosure Stmt | |
| 581 | 581 | 40 | 40 | 40 | 40 | Recording each patent assignment per property (times number of properties) | |
| 146 | 146 | 740 | 370 | 370 | 370 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 149 | 149 | 740 | 370 | 370 | 370 | For each additional invention to be examined (37CFR 1.129(b)) | |
| 179 | 179 | 740 | 370 | 370 | 370 | Request for Continued Examination (RCE) | |
| 169 | 169 | 900 | 900 | 900 | 900 | Request for expedited examination of a design application | |

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 130.00

SUBMITTED BY

| | | | | | |
|-------------------|-----------------|----------------------------------|----------------|-----------|----------------|
| Name (Print/Type) | James J. Napoli | Registration No (Attorney/Agent) | 32,361 | Telephone | (312) 474-6300 |
| Signature | James J. Napoli | Date | April 18, 2002 | | |

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, Washington, DC, 20231, on the date shown below.

Dated: April 18, 2002

Signature: James J. Napoli